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PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

2

Application Number

10/796,902

Filing Date

March 9, 2004

First Named Inventor

Jun Li, et al.

Art Unit

1615

Examiner Name

Not Yet Assigned

Attorney Docket Number

11287.105001 AST001

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Prosecution by Assignee and Power of Attorney Under 37 CFR 371; 2. Statement Under 37 CFR 3.73(b); and 3. Post Card
<input type="text"/> Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	KING & SPALDING LLP		
Signature			
Printed name	Madeline I. Johnston, Ph.D., Esq.		
Date	August 12, 2005	Reg. No.	36,174

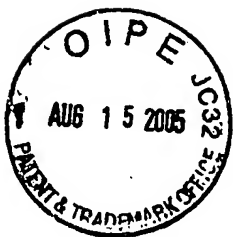
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Signature			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/796,902 Confirmation No. 1631  
Applicant : Jun Li et al.  
Filed : March 9, 2004  
TC/A.U. : 1615  
Examiner : Not Assigned  
Title : Microcapsules for Encapsulation of Bioactive Substances  
  
Docket No. : 11287.105001 AST001  
Customer No. : 20786

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**PROSECUTION BY ASSIGNEE AND POWER OF ATTORNEY  
UNDER 37 C.F.R. § 3.71**

Sir:

Agency for Science, Technology and Research, the assignee of the entire right, title and interest in this patent application, under 37 C.F.R. § 3.71 hereby appoints the practitioners associated with Customer Number 20786 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all written communications relative to this application to:

Madeline I. Johnston, Esq.  
King & Spalding LLP  
191 Peachtree Street  
45<sup>th</sup> Floor  
Atlanta, GA 30303-1763

Please direct all telephone calls to Madeline I. Johnston, Esq. at (404) 572-4600.

**Agency for Science, Technology and Research**

Date: 11 July 2005

Signature: [Signature]  
Name: SURESAN SACHI  
Title: DIRECTOR, LEGAL  
Address: AGENCY FOR SCIENCE, TECHNOLOGY & RESEARCH



PTO/SB/96 (06-04)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Jun Li, Chai Hoon Quek, Leong Ming Gan, Hanry Yu and Kam W. LeongApplication No./Patent No.: 10/796,902 Filed/Issue Date: March 9, 2004Entitled: Microcapsules For Encapsulation Of Bioactive Substances

Agency for Science, Technology and Research

(Name of Assignee)

a Government Agency

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is 50 %  
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015515, Frame 0200, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11 July 2005

Date

65 6826 6219

Telephone number

\_\_\_\_\_  
Typed or printed nameSURESAN SACHU

Signature

DIRECTOR, LEGAL

AGENCY FOR SCIENCE, TECHNOLOGY &amp; RESEARCH

Title

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